

Lung injury caused by excessive use of electronic cigarettes: toxicants involved and pathophysiological mechanisms

Lesão pulmonar induzida pelo uso excessivo de cigarros eletrônicos: toxicantes envolvidos e mecanismos fisiopatológicos

ABSTRACT

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Introduction: Electronic cigarettes were introduced to the market for assisting in smoking cessation. However, this alternative is related to the development of Lung Injury Associated with the Use of Electronic Cigarettes and Vaporizers (EVALI), especially in the young population. In this context, it is necessary to understand the mechanisms of lung injury produced by the use of electronic cigarettes in order to establish the true risks of long-term exposure to these vapors. **Objective:** To elucidate the pathophysiological mechanisms of EVALI. **Method:** Integrative literature review based on the PRISMA method and search in the LILACs, PubMed, and EMBASE databases, from 2003 to 2023 and free access experimental and epidemiological research in full, with an approach to the pathogenesis of EVALI, were selected. **Results:** The different constituents present in electronic cigarettes are involved in the development of EVALI as they age through different mechanisms, such as: changes in the structure and functions of the lung surfactant; accumulation of oil droplets in mucus, and alteration of the structure, function, and chemotaxis of cells that make up innate immunity, such as neutrophils and macrophages. **Conclusions:** Electronic cigarettes induce EVALI through different mechanisms due to the complexity of the composition of substances present in vapors. Clarifying the mechanisms of lung injury is pertinent for regulatory agencies to apply more stringent measures to electronic cigarettes in order to reduce the impact of exposure and cases of morbidity and mortality among young people and adults.

KEYWORDS: Eletronic Cigarette; EVALI; Lung Injury

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Received: Dec 29, 2023

Approved: May 22, 2024

How to cite: Travassos CCA, Flister KFT. Lung injury caused by excessive use of electronic cigarettes: toxicants involved and pathophysiological mechanisms. *Vigil Sanit Debate*, Rio de Janeiro, 2024, v.12: e02292. <https://doi.org/10.22239/2317-269X.02292>

RESUMO

Introdução: Os cigarros eletrônicos, que foram introduzidos no mercado com a finalidade de auxiliar na cessação do tabagismo, está relacionado ao desenvolvimento da lesão pulmonar associada ao uso de cigarros eletrônicos e vaporizadores (Evali), principalmente na população jovem. Neste contexto, é imprescindível entender os mecanismos de lesão pulmonar produzidos pelo uso de cigarros eletrônicos, a fim de estabelecer os verdadeiros riscos da exposição a longo prazo a esses vapores. **Objetivo:** Elucidação dos mecanismos fisiopatológicos da Evali. **Método:** Revisão de literatura integrativa baseada no método PRISMA e busca nas bases de dados LILACS, PubMed, Embase, no período de 2003 a 2023. Foram selecionadas pesquisas experimentais e epidemiológicas de livre acesso na íntegra com abordagem na patogênese da Evali. **Resultados:** Os diferentes constituintes presentes no cigarro eletrônico estão envolvidos no desenvolvimento da Evali, visto que agem por diversos mecanismos, como: modificação na estrutura e funções do surfactante pulmonar, acúmulo de gotículas de óleo no muco e modificação da estrutura, função e quimiotaxia de células que compõem a imunidade inata, como os neutrófilos e macrófagos. **Conclusões:** O cigarro eletrônico induz a Evali por diferentes mecanismos em virtude da complexidade da composição de substâncias presentes nos



vapores. O esclarecimento acerca dos mecanismos de lesão pulmonar é pertinente para nortear as agências regulatórias na aplicação de medidas mais rigorosas aos cigarros eletrônicos, a fim de reduzir os impactos da exposição e dos casos de morbimortalidade entre os jovens e adultos.

PALAVRAS-CHAVE: Cigarro Eletrônico; Evali; Lesão Pulmonar

INTRODUCTION

The worldwide fight against smoking began with the signing of the first global health treaty at the World Health Organization (WHO) Framework Convention with the proposal to reduce the demand for nicotine-based products by increasing taxes, incorporating health warning labels, and banning advertising that encourages their use¹. These measures have contributed to a global reduction in smoking, although it still affects a large proportion of the world's population².

Smoking is a chronic disorder caused by nicotine dependence and conditioned behaviors, which is among the top three risk factors in terms of Disability Adjusted Life Year, associated with the development of multimorbidities, and is also considered a global health problem². In Brazil, during 2019, according to analyses carried out by Wanderlei-Flores et al., the highest burden of deaths attributable to smoking was due to cardiometabolic diseases (41.4%), followed by respiratory diseases (34%) and cancer (24.6%)³, which killed more than 160,000 people in 2020⁴.

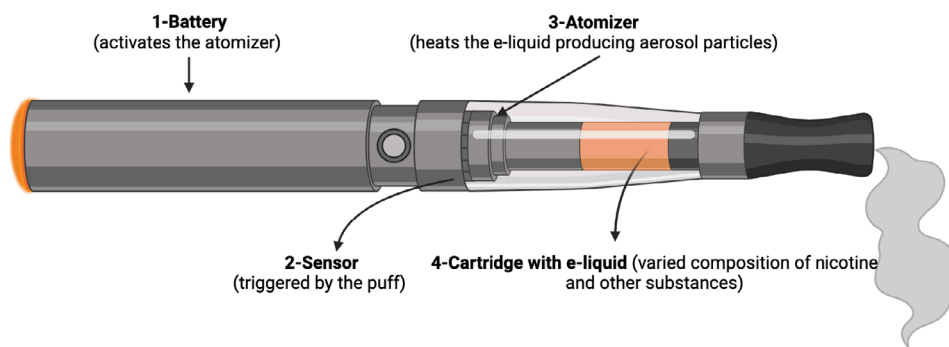
Nicotine is the substance responsible for addiction in smoking, as it shares the same neurological basis for addiction as other types of drugs of abuse⁵. There are around 7,000 chemicals in cigarette smoke, 93 of which are harmful and potentially harmful according to the Food and Drug Administration (FDA), including: cadmium, lead, acrolein, acetaldehyde, benzene, ammonia, carbon monoxide, 1,3-butadiene, and tobacco-specific nitrosamines⁶. In this context, e-cigarettes were introduced to the market in 2004 to aid smoking cessation and with the justification that they were harmless to health, even in the absence of clinical studies proving such benefits⁷. In Brazil, their sale is prohibited by the National Health Surveillance Agency by RDC No. 46 of

August 28, 2009⁸, but they are easily purchased on websites and their use is widespread among adolescents, bringing unexpected health risks to this population⁹.

E-cigarettes have evolved since their creation, and today there are four generations of them on the market with a basic structure (Figure 1). The first generation resembles conventional cigarettes in shape and size and is disposable and non-refillable. The second generation has rechargeable batteries and cartridges. The third-generation devices have a kind of tank for storing nicotine or other drugs. The latest generation has a pre-filled or refillable cartridge, which contains e-liquid that can be heated to a desired temperature, producing more vapor¹⁰.

The basic functional components of an electronic cigarette are: a battery, a wick, and a heating coil responsible for aerolizing the e-liquid to release the vapor, which can contain various components, such as: psychoactive substances (nicotine or Δ^9 -THC), diluents - propylene glycol (PG), vegetable glycerin (VG), or vitamin E -, and flavoring agents, for inhalation. Although the act of inhaling these compounds is colloquially called vaping, this term is misleading, as it is actually a super-heated complex aerosol of semi-liquid particulate material, and not a gaseous vapor that the user absorbs, which is linked to lung damage¹¹.

In September 2019, in the United States, the Centers for Disease Control and Prevention (CDC) warned of the growing number of cases of lung damage associated with the use of electronic cigarettes by young people, with global repercussions. This new nosological entity was then called lung injury associated with the



Source: Prepared by the authors, 2023.

Figure 1. Basic structure of electronic cigarettes.



use of electronic cigarettes and vaporizers (EVALI). In the population exposed to the use of these devices, a broad spectrum of lung diseases has been identified, characterized by four different types of damage: acute eosinophilic pneumonia, diffuse alveolar damage, organizational pneumonia, and lipoid pneumonia. Therefore, such diversity suggests different mechanisms of lung damage¹².

EVALI usually presents as an acute or sub-acute respiratory illness with non-specific symptoms, including shortness of breath, cough, chest pain, and/or hemoptysis. They also present with gastrointestinal problems (nausea, vomiting, and/or diarrhea) and/or constitutional symptoms (fever, chills, fatigue, and/or weight loss) that develop over days to weeks with no specific laboratory findings. Confirmed cases of EVALI are defined as the onset of pulmonary infiltrates on chest X-ray or computed tomography (CT) scan that occur within 90 days of e-cigarette use. The diagnostic criteria suggested for EVALI refer only to acute cases of respiratory disease due to e-cigarette use and do not address chronic respiratory diseases or other diseases that may be induced or aggravated by use. Due to the lack of knowledge of its pathophysiological mechanisms, current treatment involves systemic corticosteroid therapy, but its efficacy has yet to be proven¹³.

Thus, electronic cigarettes (e-cigarettes) are a threat to the health of young people due to their accessibility, the attractiveness of the different designs of these devices and the omission of manufacturers about their real constituents, claiming that they are safe and less harmful than conventional cigarettes^{14,15}. Therefore, it is essential to elucidate the pathophysiological mechanisms of EVALI resulting from the use of electronic cigarettes, establishing the true risks of long-term exposure to these aerosols, helping regulatory agencies to create public risk management policies.

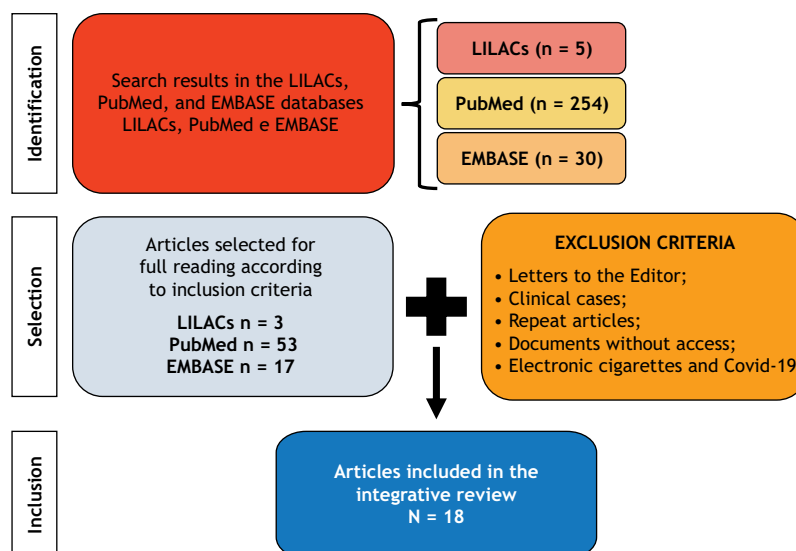
METHOD

This study is a literature review based on the Principal Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) method. Firstly, a search was carried out for relevant studies on the mechanisms of lung injury caused by electronic cigarette use in the LILACS, PubMed, and Embase databases using the following descriptors: (“E-Cigarette Vapor”) AND (“Lung Injury”) OR (“E-Cigarette or Vaping Product Use-Associated Lung Injury”).

Then, after reading and analysis by two researchers, the following inclusion criteria were used: the year of publication of the articles - between 2003 (when e-cigarettes first appeared) and 2023 - and the language - Portuguese, English, and Spanish - and articles that addressed the pathogenesis of EVALI. The exclusion criteria selected for the search were letters to the editor, repeated articles, documents without access, and articles relating the use of electronic cigarettes and COVID-19. In the event of a discrepancy, a third researcher was recruited to review the quality of the information according to the inclusion and exclusion criteria (Figure 2).

Lung tissue is responsible for gas exchange in the body and has an important cellular defense network against particles and pathogens. Airway homeostasis is maintained through different physiological mechanisms, such as mucociliary clearance and phagocytosis of invading particles. When type I and type II alveolar cells are exposed to aerosols from the vaporization of electronic cigarettes, macrophages (AMs) and polymorphonuclear leukocytes (PMNs) are recruited to defend the pulmonary system, as they are part of innate immunity¹⁶.

However, exposure to electronic cigarette vapors (EVE), which have a complex composition of substances, produces important changes in the immune system, as it alters the fluidity of the



Source: Prepared by the authors, 2023.

Figure 2. Flowchart of the identification and selection of articles based on the PRISMA method.



neutrophil cell membrane, inhibits their chemotaxis process and the production of extracellular traps that play an important role in the defense process promoted by these cells. In addition, exposure to EVE also inhibits the production of reactive oxygen species (ROS) mediated by these cells, consequently interfering with the antimicrobial defense system of the alveoli¹⁷. Therefore, lung tissue becomes susceptible to damage and injury due to alterations in the tissue defense process mediated by immune system cells.

Electronic cigarettes also contain psychoactive compounds such as nicotine and tetrahydrocannabinol (Δ^9 -THC), which are involved in triggering EVALI. Experiments on animal models have shown that electronic devices containing nicotine trigger airway hyperreactivity and impair ciliary movement¹⁸. Δ^9 -THC acts mainly by modulating the response of the pulmonary immune system, as its vaporization has the ability to suppress cell chemotaxis, nitric oxide production, secretion of tumor necrosis factor- α , phagocytic activity, and induces the expression of inflammatory cytokines, contributing to a reduction in pulmonary immune defense. It also promotes the direct oxidation of phosphatidylcholines and the consequent rupture of pulmonary surfactant, resulting in tissue damage and respiratory distress¹⁹.

Other important components of electronic cigarettes that can contribute to the occurrence of EVALI are the diluents of psychoactive compounds present in the formulations, such as PG and VG contained in nicotine-based formulations, and vitamin E acetate (VEA), contained in electronic cigarettes with Δ -THC²⁰. In bronchoalveolar lavage from patients hospitalized with EVALI, the presence of VEA was found²¹. This finding has contributed to the development of several studies in experimental and *in vitro* models to understand the pathophysiological mechanisms of this compound in the development of EVALI.

Vitamin E is found in various foods and dietary supplements and is considered safe to take according to the FDA¹². However, its vaporization in electronic cigarettes generates VEA, which has been associated with various pulmonary damages¹¹. Vitamin E has a rigid double ring (chroman) with the ability to insert itself and cause a disturbance in the phosphatidylcholine bilayer that makes up the pulmonary surfactant, by reversing the gel phase to the crystalline phase, which exponentially increases its surface fluidity, thus altering the cycle of pulmonary compression and decompression, resulting in extensive hypoxemia and respiratory discomfort. Another specific finding of VEA exposure is the formation of lipid-laden intra-alveolar AMs which are related to the development of lipoid pneumonia²².

Still on the subject of mechanisms involving VEA, there is a reduction in the process of spherocytosis of alveolar AMs. The impaired morphological and functional functions of AMs lead to the accumulation of apoptotic neutrophils with the intensification and activation of a pro-inflammatory cascade. Therefore, the alteration of the homeostasis processes of AMs due to the use of electronic cigarettes with VEA is capable of promoting the installation of a pulmonary inflammatory condition associated with systemic oxidative stress observed both in experimental

models and in patients with EVALI²³. Manna et al. also observed in experimental models that exposure to VEA vapor promotes an increase in the mucus production rate of goblet cells and the formation of insoluble oil droplets in the pulmonary mucus capable of triggering an inflammatory response similar to that observed in EVALI²⁴.

Furthermore, when the VEA is subjected to excessive pyrolysis by vaporization, it degrades into ketene gas, which also seems to be involved in the pathogenesis of EVALI^{13,25}. Ketene is formed under the conditions of dry-hits, which are devices that do not adjust their operating temperature according to the level of e-liquid, resulting in overheating and the production of ketene gas. This situation recurs in counterfeit tetrahydrocannabinol e-cigarettes, whose internal materials are found burnt²⁶. Exposure to concentrations of 12 ppm of ketene gas promotes acute pulmonary congestion and alveolar edema, which can contribute to the development of EVALI²⁷.

PG and VG also play a role in the lung damage induced by the use of electronic cigarettes. Due to their hygroscopic properties, PG and VG dehydrate the liquid on the surface of the airways, which triggers a decrease in mucociliary clearance, causing alveolar obstruction and inflammation. In addition, PG and VG induce hyperosmotic stress, since they do not cross biological membranes, and can induce the expression and secretion of pro-inflammatory cytokines capable of producing bronchoconstriction. Together, these effects can also disrupt mucus/surfactant rheological properties, increase surface tension and result in small airway collapse and disturb gas exchange²⁸.

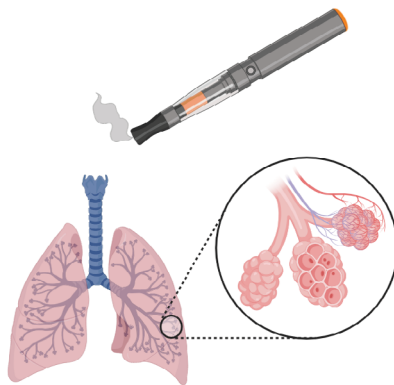
VG interacts with the lipids that make up pulmonary surfactant, altering the position of the phosphatidylcholine chains by replacing the water molecules in the solvation layer and concentrating in the interfacial region in a thin layer over the lipid phase, thus altering the stability of pulmonary surfactant²⁹. In addition, inhalation of these diluents increases serum levels of Clara cell protein 16 (CC16), an inflammatory marker of lung epithelial injury²⁸.

The flavoring agents contained in the e-liquid may have contributed to EVALI. Among the flavoring agents are 2,3-pentanedione, acetoin, menthol and cinnamaldehyde, which have an oxidative and inflammatory effect on the airways, benzaldehyde, furfural and 5-hydroxyfurfural, which have been shown to cause irritation of the upper respiratory tract, while vanillin has an inflammatory and irritating effect on the respiratory tract³⁰.

Flavoring agents are added to electronic cigarettes to attract young people and mask the perceived risks of their use¹¹. 2,3-butanedione (BD) is an α -diketone found in nature that gives butter its characteristic flavor and is an important constituent of artificial flavors. BD is widely used as a food additive, and its consumption in food is not associated with adverse health effects³¹. This substance has been found in vape e-liquids along with its diketone analog, 2,3-pentanedione. This product has known pulmonary toxicity, triggering bronchiolitis obliterans or "popcorn lung"¹¹.



MECHANISMS OF LUNG DAMAGE PRODUCED BY ELECTRONIC CIGARETTES



VITAMIN E, VITAMIN E ACETATE, AND KETENE GAS

- It alters pulmonary surfactante;
- It triggers a pro-inflammatory cascade;
- mucus production
- Pulmonary congestion and pulmonary edema.

NICOTINE AND Δ^9 -THC

- Suppresses cell chemotaxis and nitric oxide production;
- Inhibits the secretion of tumor necrosis factor- α ;
- Inhibits phagocytic activity;
- inflammatory cytokines.

PROPYLENE GLYCOL AND VEGETABLE GLYCERIN

- mucociliary clearance,
- Alveolar obstruction and inflammation;
- It alters surfactant and pulmonary mucus;
- of inflammatory cytokines

FLAVORING AGENTS

- Oxidação e inflamação das vias aéreas;
- Irritação pulmonar;
- Bronquiolite obliterantes.

Source: Prepared by the authors, 2023.

Figure 3. Summary of the mechanisms of lung damage triggered by the constituents of electronic cigarettes.

The mechanisms of lung damage caused by electronic cigarettes involve a set of actions triggered by the different components contained in these devices which can generate changes in the structure and functions of pulmonary surfactant, which consequently promotes alveolar collapse and the accumulation of oil droplets, modifying the structure, function, and chemotaxis of cells that make up innate immunity, such as neutrophils and AMs (Figure 3). More studies are needed to elucidate precisely the mechanisms of lung damage caused by the constituents of electronic cigarettes, under what conditions this occurs and to establish the real risks of long-term exposure to the vapors of these electronic devices.

CONCLUSIONS

Thus, there is evidence that the vaporization of electronic cigarette components, such as psychoactive substances (nicotine and tetrahydrocannabinol), diluents (vitamin E/VEA/ketene gas; polyethylene glycol and VG), and various flavoring agents, are related to the pathogenesis of EVALI. It is therefore important to understand the different mechanisms of lung damage caused by the use of electronic cigarettes in order to guide regulatory agencies in controlling, restricting, and possibly maintaining the ban on such devices on the Brazilian market, so as to protect the health of young people and adults.

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Authors' Contribution

Travassos CCA, Flister KFT - Conception, planning (study design), acquisition, analysis, data interpretation, and writing of the paper. All the authors approved the final version of the paper.

Conflict of Interest

The authors inform that there is no potential conflict of interest with peers and institutions, political or financial, in this study.



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